LSU System Investments Compliance Schedule September 30, 2014

	ncial YTD Septe	mber 30, 2014	l I	Eligible In	vestment	Depository	University			
Car		Capital Risk Adjusted		Eligibility % of	Total	May Not	May	authorized	Investment	Complies
Banks	Category	Capital Ratio	Ratio	Total Deposits	Deposits of bank	Exceed 5%	Exceed 5%	by La. IEB	9/30/2014	PM-9
Campus Federal CU	N/A	N/A	N/A	N/A	\$0	\$100,000/ acc't.		Yes	\$100,000	Yes
Capital One Bk. (Hibernia)	1	15.22	10.23	5%	\$36,810,833,000		\$1,840,541,650	Yes	\$20,539,498	Yes
		10.50		==/	*			.,	* 4=0 040 000	
JP Morgan Chase Bank	1	13.79	7.98	5%	\$1,377,661,000,000	\$68,883,050,000		Yes	\$153,246,693	Yes
Regions Bank	1	14.59	10.66	5%	\$96,622,297,000		\$4,831,114,850	Yes	\$82,455,413	Yes
St. Landry Bank & Trust	1	29.68	11.26	5%	\$248,678,000		\$12,433,900	Yes	\$243,726	Yes
U.S. Bank	1	12.88	8.75	5%	\$284,226,575,000	\$14,211,328,750		No	\$7,009	No
					.	************	*		*	
Total					\$1,795,569,383,000	\$83,094,378,750	\$6,684,090,400		\$256,592,339	

To be eligible for university investment a financial institution must be listed in Capital Category 1 or 2, have a tier one core ratio of at least 5, and be a depository authorized by the La. Interim Emergency Board.

To be eligible for university investment in excess of 5% of its total deposits the institution must have a tier one leverage ratio of at least 10.

Core Capital

The capital level established by Section 131 of the FDIC Improvement Act of 1991. This regulation establishes the capital levels that determine a bank's prompt corrective action capital category. There are five possible categories: 1: Well Capitalized 2: Adequately Capitalized 3: Undercapitalized 4: Significantly Undercapitalized 5: Critically Undercapitalized Well Capitalized: Risk Adjusted Capital Ratio >= 10.00% and Core Capital to Risk Weighted Assets >= 6.00% and Core Capital to Risk Weighted Assets >= 8.00% and Core Capital to Risk Weighted Assets >= 4.00% and Core Capital to Adj Total Assets >= 4.00% or Core Capital to Risk Weighted Assets < 4.00% or Core Capital to Risk Weighted Assets < 4.00% or Core Capital to Adj Total Assets < 4.00% or Core Capital to Adj Total Assets < 3.00% or Core Capital to Adj Total Assets < 3.00% Critically Undercapitalized: Tangible Equity Ratio <= 2.00%

Total Risk-Adjusted Capital Ratio

Total risk-based capital divided by Total risk-weighted assets. This ratio is used to determine core capital and used to assess the capital adequacy of a financial institution. It can help determine whether a bank has enough capital to withstand a downturn in the economy. Institutions above 10% received Core Capital rating of 1.

Leverage Ratio

Tier one Core Capital divided by Average total assets for leverage purposes. It is used to help determine the capital adequacy and to place limitations on the degree to which a banking firm can leverage its capital base.

LSU SYSTEM Deposit and Collateral Report For the Quarter Ended September 30, 2014

Deposits Requiring Posting of Collateral:		5	mand Deposit Sweep/MMA Repurchase	C	ertificates of Deposit	i	otal Deposits n Financial Institutions		Collateral (1)
LSU A & M and LSUHSC New Orleans									
Chase - LSU, Health Plan Premium		\$	39,747,161			\$	39,747,161	\$	82,064,488
Capital One CCD Program - LSU		·	, ,	\$	8,000,000		8,000,000	·	19,054,336
Chase-HSCNO			13,063,486	·	18,600		13,082,086		15,017,949
Capital One - LSU-A			7,009,913		,		7,009,913		8,326,131
St. Landry Bank - LSU-E			243,726				243,726		501,140
	Total	\$	60,064,286	\$	8,018,600	\$	68,082,886	\$	124,964,044
LSUHSC Shreveport									
US Bank		\$	7,002			\$	7,002	\$	250,000
Raymond James			7				7		-
Regions Bank-EA Conway			4,884,656				4,884,656		4,977,349
Regions Bank-Huey P Long			5,714,259				5,714,259		5,823,544
Regions Bank-Shreveport			69,260,525				69,260,525		73,288,629
Regions Bank-Shreveport Endowment Fds			2,595,973				2,595,973		-
	Total	\$	82,462,422	\$	-	\$	82,462,422	\$	84,339,522
LSU HCSD									
JP Morgan Chase & Capital One (WSTMC)		\$	95,277,300			\$	95,277,300		not required
J. P. Morgan Chase (MCLNO Trust Fund)			5,140,146				5,140,146	\$	5,039,623
Capital One (MCLNO Trust Fund)			2,249,781				2,249,781		2,108,152
	Total	\$	102,667,227			\$	102,667,227	\$	7,147,775
LSU - Shreveport									
Campus Federal Credit Union				\$	100,000	\$	100,000	\$	250,000
Capital One (Hibernia National Bank)			3,279,804				3,279,804		7,242,231
	Total	\$	3,279,804	\$	100,000	\$	3,379,804	\$	7,492,231
Total Requiring Collateral		\$	248,473,739	\$	8,118,600	\$	256,592,339	\$	223,943,572
Deposits In Trust or Federal Obligations I	Not Rea	uiring	a Collateral						
Federated Money Markets	22.234	\$	63,549,164			\$	63,549,164		
Federated Funds-Treas. Oblig. (2)		•	56,307			*	56,307		
	Tota	I \$	63,605,471	\$	_	\$	63,605,471		
	Deposits		312,079,210		8,118,600	\$	320,197,810		

⁽¹⁾ Collateral amounts include FDIC coverage of \$250,000 on Demand Deposits, \$250,000 on CD's and \$250,000 by the National Credit Union Share Insurance Fund on deposits with Campus Federal Credit Union.

⁽²⁾ One Group & Federated Funds are no-load, open ended mutual funds investing is U.S. Treasury obligations.

LSU System Investment Summary For the Quarter Ended September 30, 2014

For the Quarter Ended September 30, 2014											
	As of 7/1/2014						As of 06/30/2015				
Fund Description	Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	Cost	Market Value		
Current Funds Cash/Sweeps	\$255,081,416	\$238,148,609	\$238,148,609	\$0	\$0	\$0	\$0	\$0	\$0		
Money Market Accounts/Repos (A)	\$275,903	\$795,078	\$795,078	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Certificates of Deposit	\$12,100,000	\$8,100,000	\$8,100,000	\$0	\$0	\$0	\$0	\$0	\$0		
CMO's	\$4,397,354	\$3,812,071	\$3,931,562	\$0	\$0	\$0	\$0	\$0	\$0		
Agency Securities (B)	\$158,851,455	\$185,722,415	\$185,518,960	\$0	\$0	\$0	\$0	\$0	\$0		
Mortgaged Backed Securities	\$24,290,792	\$25,402,855	\$25,715,176	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Equity Securities (C) Bond Mutual Funds	\$63 \$44,587,216	\$0 \$46,697,531	\$82,308 \$43,315,615	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Municipal Bonds (E)	\$44,260,206	\$46,586,756	\$44,284,729	\$0 \$0	\$0 \$0	\$0	\$0 \$0	\$0 \$0	\$0 \$0		
Corporate Bonds (D)	\$150,982,057	\$167,247,843	\$168,853,093	\$0	\$0	\$0	\$0	\$0	\$0		
US Gov Related Securities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
Total	\$703,768,087	\$733,452,272	\$729,662,560	\$0	\$0	\$0	\$0	\$0	\$0		
Endowment Funds											
Cash/Sweeps	\$2,643,389	\$2,647,306	\$2,647,306	\$0	\$0	\$0	\$0	\$0	\$0		
Money Market Accounts/Repos (A)	\$48,094	\$232,322	\$232,322	\$0	\$0	\$0	\$0	\$0	\$0		
Certificates of Deposit Agency Securities (B)	\$18,600	\$18,600	\$18,600	\$0	\$0	\$0 \$0	\$0	\$0	\$0 \$0		
Equity Securities (B)	\$9,167,668 \$10,027,207	\$8,138,160 \$6,591,396	\$8,153,670 \$9,994,604	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Mutual Funds	\$56,394,208	\$53,056,172	\$55,530,957	\$0	\$0	\$0	\$0	\$0 \$0	\$0		
Corporate Bonds (D)	6,373,365	\$7,063,835	\$7,320,340	\$0	\$0	-	-	\$0	\$0		
Total	\$84,672,531	\$77,747,791	\$83,897,799	\$0	\$0	\$0	\$0	\$0	\$0		
Other Funds											
Cash/Sweeps	\$7,472,995	\$7,667,764	\$7,667,764	\$0	\$0	\$0	\$0	\$0	\$0		
Money Market Accounts/Repos (A)	\$77,691,185	\$62,531,824	\$62,531,824	\$0	\$0	\$0	\$0	\$0	\$0		
Treasury Notes	\$51,852,207	\$39,098,113	\$39,120,088	\$0	\$0	\$0	\$0	\$0	\$0		
Agency Securities (B)	\$21,366,224	\$21,153,983	\$21,390,885	\$0	\$0	\$0	\$0	\$0	\$0		
Equity Securities (C) US Gov Related Securities	\$499,373 \$63,308	\$0 \$56,307	\$466,488 \$56,307	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Total	\$63,308 \$158,945,292	\$56,30 <i>7</i> \$1 30,507,991	\$56,307 \$131,233,356	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Grand Total	\$947,385,910	\$941,708,054	\$944,793,715	\$0	\$0 \$0	\$0 \$0	\$0	\$0	\$0		
	40 11 ,000,010	4011,100,001	\$0.11,100,110	4 0	40	40	40	\$ 5	-		
Deposits in Financial Institutions Total Cash/Sweeps/MMA/Repos	\$343,212,982	\$312,022,903	\$312,022,903	\$0	\$0	\$2	\$2	\$0	\$0		
Total Casti/Sweeps/min/Nepos	\$12,118,600	\$8,118,600	\$8,118,600	\$0 \$0	\$0 \$0	\$0	\$0 \$0	\$0 \$0	\$0 \$0		
US Gov Related Securities	\$63,308	\$56,307	\$56,307	\$0	\$0	\$0	\$0	\$0	\$0		
Total Deposits	\$355,394,890	\$320,197,810	\$320,197,810	\$0	\$0	\$2	\$2	\$0	\$0		
Other Investments								_			
Treasury Notes	\$60,793,832	\$50,037,227	\$50,037,518	\$0	\$0	\$0	\$0	\$0	\$0		
CMO's	\$4,397,354 \$189,385,347	\$3,812,071 \$215,014,558	\$3,931,562 \$215,063,515	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Agency Securities (B) Mortgaged Backed Securities	\$24,290,792	\$25,402,855	\$215,063,515	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		
Equity Securities (C)	\$10,526,643	\$6,591,396	\$10,543,400	\$0	\$0 \$0	\$0	\$0	\$0	\$0		
Bond Mutual Funds	\$44,587,216	\$46,697,531	\$43,315,615	\$0	\$0	\$0	\$0	\$0	\$0		
Mutual Funds	\$56,394,208	\$53,056,172	\$55,530,957	\$0	\$0	\$0	\$0	\$0	\$0		
Municipal Bonds (E)	\$44,260,206	\$46,586,756	\$44,284,729	\$0	\$0	\$0	\$0	\$0	\$0		
Corporate Bonds (D)	\$157,355,422	\$174,311,678	\$176,173,433	\$0	\$0	\$0	\$0	\$0	\$0		
Total Other Grand Total	\$591,991,020 \$947,385,910	\$621,510,244 \$941,708,054	\$624,595,905 \$944,793,715	\$0 \$0	\$0 \$0	\$0 \$2	\$0 \$2	\$0 \$0	\$0 \$0		
LSU and Related Campuses	\$947,305,910	\$941,700,034	\$944,193,115	4 0	ψU	ΨZ	ΨZ	φU	\$0		
Current Funds											
Cash/Sweeps	\$69,854,093	\$60,064,286	\$60,064,286								
Money Market Accounts/Repos (A)	\$265,852	\$785,018	\$785,018								
Certificates of Deposit	\$12,000,000	\$8,000,000	\$8,000,000								
Treasury Notes	\$5,952,180	\$7,947,969	\$7,935,320								
CMO's	\$4,397,354	\$3,812,071	\$3,931,562								
Agency Securities (B) Mortgaged Backed Securities	\$141,403,590 \$24,290,792	\$177,723,642 \$22,862,624	\$177,666,575 \$23,166,153								
Equity Securities (C)	\$24,290,792 \$63	\$22,002,024	\$82,308								
Bond Mutual Funds	\$44,587,216	\$46,697,531	\$43,315,615								
Municipal Bonds (E)	\$43,290,244	\$45,598,524	\$43,314,546								
Corporate Bonds (D)	\$146,096,367	\$157,929,049	\$159,609,600								
Total	\$492,137,751	\$531,420,714	\$527,870,983	\$0	\$0	\$0	\$0	\$0	\$0		
Endowment Founds											
Endowment Funds	Ø40.004	# 222.200	# 000 000								
Money Market Accounts/Repos (A) Certificates of Deposit	\$48,094 \$18,600	\$232,322 \$18,600	\$232,322 \$18,600								
Agency Securities (B)	\$9,167,668	\$8,138,160	\$8,153,670								
Equity Securities (C)	\$10,015,161	\$6,583,746	\$9,983,327								
Corporate Bonds (D)	\$6,373,365	\$7,063,835	\$7,320,340								
US Gov Related Securities											
Total	\$25,622,888	\$22,036,663	\$25,708,259	\$0	\$0	\$0	\$0	\$0	\$0		
Other Funds											
Money Market Accounts/Repos (A)	\$69,299,252	\$54,129,726	\$54,129,726								
Treasury Notes	\$51,852,207	\$39,098,113	\$39,120,088								
Agency Securities (B)	\$21,366,224	\$21,153,983	\$21,390,885								
Total Grand Total	\$142,517,683 \$660,278,322	\$114,381,822 \$667,839,199	\$114,640,699 \$668,219,941	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0	\$0 \$0		

LSU System Investment Summary For the Quarter Ended September 30, 2014

	As of 7/1/2014	As of 06/30/2015								
Fund Description	Value	As of 9/ Cost	Market Value	As of 12 Cost	Market Value	As of 3/ Cost	Market Value	Cost Market Value		
LSUHSC Shreveport	value	Cost	iviai ket value	COSI	Wai Ket Value	COSI	Walket Value	Cost	warket value	
Current Funds										
Cash/Sweeps S.	\$76,506,844	\$69,267,534	\$69,267,534							
Cash/Sweeps C.	\$2,698,625	\$4,884,656	\$4,884,656							
Cash/Sweeps H.	\$7,537,281	\$5,714,259	\$5,714,259							
Cash/Sweeps	\$86,742,750	\$79,866,449	\$79,866,449	\$0	\$0	\$0	\$0	\$0	\$0	
	\$2,989,445	\$2,991,145	\$2,982,110	φU	φυ	φU	φU	ψU	φu	
Treasury Notes										
Agency Securities (B)	\$17,447,865	\$7,998,773	\$7,852,385							
Mortgaged Backed Securities	\$0	\$2,540,231	\$2,549,023							
Municipal Bonds (E)	\$969,962 \$4,885,690	\$988,232 \$9,318,794	\$970,183 \$9,243,493							
Corporate Bonds (D) Total				\$0	\$0	\$0	\$0	\$0	\$0	
lotai	\$113,035,712	\$103,703,624	\$103,463,643	\$0	\$0	\$0	\$0	\$0	\$0	
Endowment Funds										
Cash/Sweeps	\$2,592,560	\$2,595,973	\$2,595,973							
Equity Securities (C)	\$12,046	\$7,650	\$11,277							
Mutual Funds	\$56,394,208	\$53,056,172	\$55,530,957							
Total	\$58,998,814	\$55,659,795	\$58,138,207	\$0	\$0	\$0	\$0	\$0	\$0	
	400,000,000	***************************************	****,***,=**	**	*-	**	**	***	**	
Other Funds	¢o.	**	**	¢o.	¢0	¢o.	¢o.	**	¢o.	
Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Grand Total	\$172,034,526	\$159,363,419	\$161,601,850	\$0	\$0	\$0	\$0	\$0	\$0	
LSU HCSD										
Current Funds										
Cash/Sweeps	\$97,080,549	\$95,277,300	\$95,277,300							
Total	\$97,080,549	\$95,277,300	\$95,277,300	\$0	\$0	\$0	\$0	\$0	\$0	
Endowment Funds										
Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Other Funds										
Cash/Sweeps	\$7,197,883	\$7,389,927	\$7,389,927							
Money Market Accounts/Repos (A)	\$8,391,933	\$8,402,098	\$8,402,098							
Equity Securities (C)	\$499,373		\$466,488							
US Gov Related Securities	\$63,308	\$56,307	\$56,307							
Total	\$16,152,497	\$15,848,332	\$16,314,820	\$0	\$0	\$0	\$0	\$0	\$0	
Grand Total	\$113,233,046	\$111,125,632	\$111,592,120	\$0	\$0	\$0	\$0	\$0	\$0	
LSU - Shreveport										
Current Funds *										
Cash/Sweeps	\$1,404,024	\$2,940,574	\$2,940,574							
Money Market Accounts/Repos (A)	\$10,051	\$10,060	\$10,060							
Certificates of Deposit	\$100,000	\$100,000	\$100,000							
Total	\$1,514,075	\$3,050,634	\$3,050,634	\$0	\$0	\$0	\$0	\$0	\$0	
	. , ,	. , ,	. , ,	·	·	•	·	•	*	
Endowment Funds										
Cash/Sweeps	\$50,829	\$51,333	\$51,333							
Total	\$50,829	\$51,333	\$51,333	\$0	\$0	\$0	\$0	\$0	\$0	
	ψ33,0 <u>2</u> 3	Ψ01,000	Ψ01,000	40	ΨΟ	Ψ	40	ΨΟ	ΨΟ	
Other Funds										
Cash/Sweeps	\$275,112	\$277,837	\$277,837							
Total	\$275,112	\$277,837	\$277,837	\$0	\$0	\$0	\$0	\$0	\$0	
Grand Total	\$1,840,016	\$3,379,804	\$3,379,804	\$0	\$0	\$0 \$0	\$0	\$0	\$0 \$0	
Crana rotar	Ψ1,040,010	ψ5,515,004	ψ5,515,004	Ψ	Ψ 0	φυ	φU	φυ	φυ	
System Total	\$947,385,910	\$941,708,054	\$944,793,715	\$0	\$0	\$0	\$0	\$0	\$0	
O Jotom Total	Ψ3-1,303,310	ψ3+1,100,034	Ψυττ,1υυ,11υ	φυ	φυ	ΨU	φυ	ΨU	ΨU	

^{*} LSUS has deposited \$1,221,942 with LSU Baton Rouge Campus for investment purposes

- BENCHMARK NOTES (Example Only)

 (A) Benchmarked against 90 day T-Bil

 (B) Benchmarked against Barclay's US Agg Bond TR USC

 (C) US equities benchmarked against Russell 3000 and international against MSCI emerging market

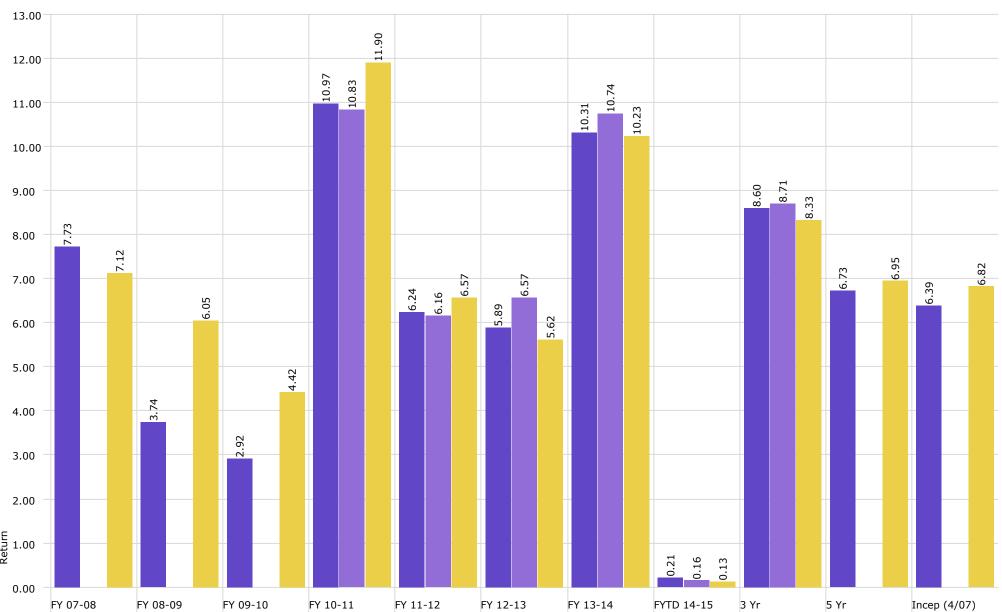
 (D) Benchmarked against XYZ

 (E) Benchmarked against XYZ

Disclaimer: Pursuant to PM-9, corporate bonds/notes only available for investment beginning 7-1-2011 Louisiana law provides for restrictions on maturity and allocation and may effect benchmark comparisons

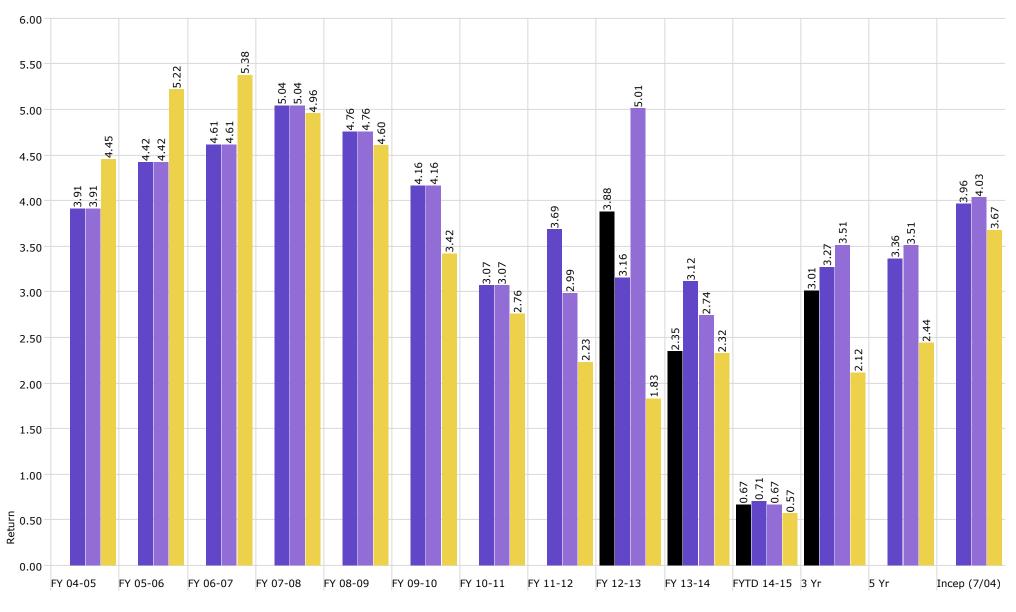


Investment Management Program Endowed Accounts Total Return



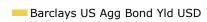


Investment Management Program Non Endowed Account (ex CDs) Realized Yield



University NAP YId

University HAP Yld

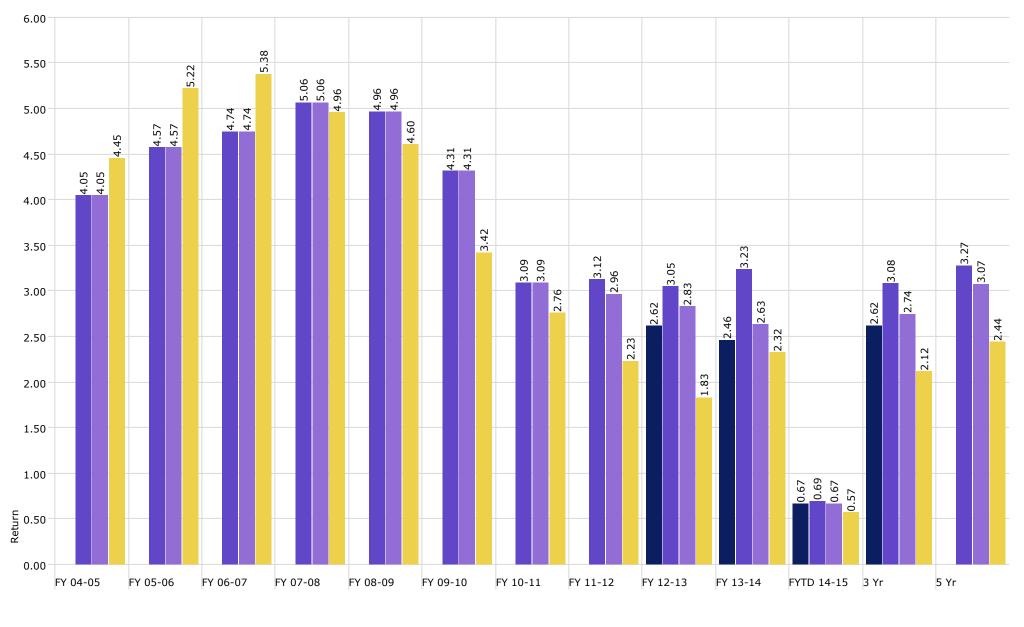


■ University Health Plan Yld

Source: Morningstar Direct



Investment Management Program Non Endowed Account (ex CDs) Coupon Yield



University NAP Cpn Yld

University HAP Cpn Yld

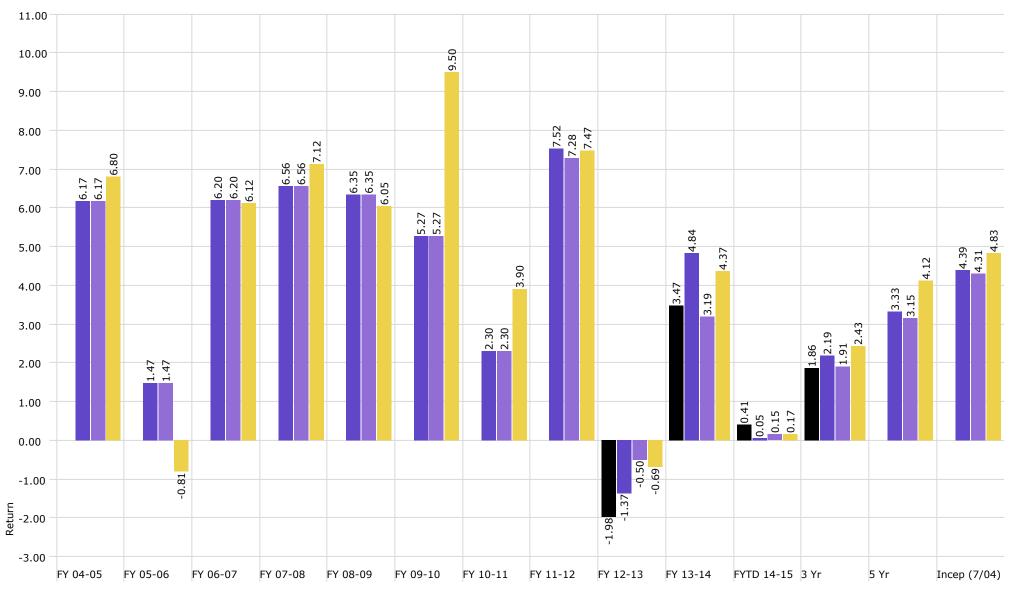
Source: Morningstar Direct

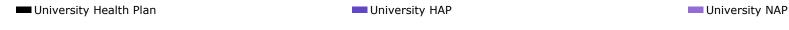
University Health Plan Cpn Yld

Barclays US Agg Bond Yld USD



Investment Management Program Non Endowed Account (ex CDs) Total Return





Barclays US Agg Bond TR USD

Source: Morningstar Direct